

**Course Form for PKU Summer School International 2018**

<b>Course Title</b>	<b>Transition and Public Policy in China</b>
	转型时期的中国公共政策
<b>Teacher</b>	LI Yongjun
<b>First day of classes</b>	July 2, 2018
<b>Last day of classes</b>	July 27, 2018
<b>Course Credit</b>	2 credits
<b>Course Description</b>	
<b>Objective:</b>	
<p>This is an introductory course about China's public policy in the process of economic, administrative and social transition. We will provide major facts and alternative explanations for various policy problems and corresponding public policies during this transition.</p> <p>Major topics covered in the course include: politics and policy making in China, public administration and public policies in the centrally planned economy period, major reforms after 1978 (including rural reforms, urban reforms, state-owned enterprise reforms, financial reforms and administrative reforms), current problems and major public policies, etc.</p>	
<b>Pre-requisites /Target audience</b>	
<p><b>Pre-requisites:</b> Basic knowledge/information about China's modern history, society, people, politics, geography, etc. / <b>Target audience:</b> Undergraduate Students</p>	
<b>Proceeding of the Course</b>	
<p>Session 1: Introduction: Politics and policy making in China            Session2:The Centrally Planned Economy in China (I)            Session3:The Centrally Planned Economy in China (II)            Session 4: Rural Policy (I)            Session 5: Rural Policy (II)            Session 6:State-owned Enterprise (SOE) Reform and the Chinese-style Privatization (I)            Session 7:State-owned Enterprise Reform and the Chinese-style Privatization (II)            Session 8: Financial Sector in Transition            Session 9: Market Liberlization            Session 10:Administrative Reform of Central and Local Government</p>	

Session 11: Discussion Session 12: Discussion	
<b>Assignments (essay or other forms)</b>	
Students will be asked to write an essay with no less than 2000 words. The topic of the essay should be related to one or more public policies in China which are discussed in the course to present students' personal understandings, comments and/or suggestions about them. Students may also choose to write about what they think are important policy problems/public policies in China.	
<b>Evaluation Details</b>	
The term-paper will contribute 70% to the final award, and class participation, including attendance and class discussion contribute 30%.	
<b>Text Books and Reading Materials</b> Please refer to the <i>Readings</i> in each session.	
<b>Academic Integrity (If necessary)</b>	
<b>CLASS SCHEDULE</b> (Subject to adjustment)	
Session 1: Introduction: Politics and policy making in China	Date:
<b>【Description of the Session】 (purpose, requirements, class and presentations scheduling, etc.)</b> 1. China's political and administrative system 2. Policy making and implementation in China	
<b>【Questions】</b>	
<b>【Readings, Websites or Video Clips】</b> Goodman, David (2015) eds. Handbook of the Politics of China, Edward Elgar Publishing, Chapter 3, 4, 5, 57-103	
<b>【Assignments for this session (if any)】</b>	
Session 2: The Centrally Planned Economy in China (I)	Date:

<p><b>【Description of the Session】 (purpose, requirements, class and presentations scheduling, etc.)</b></p> <ol style="list-style-type: none"> <li>1. A historical review of the Centrally Planned Economy in China</li> <li>2. An explanation to the formation and problems of the Centrally Planned Economy in China</li> <li>3. Public policies in the Centrally Planned Economy period</li> </ol>	
<p><b>【Questions】</b></p>	
<p><b>【Readings, Websites or Video Clips】</b></p> <p>Kornai, Janos (1992), <i>The Socialist System: The Political Economy of Communism</i>, Princeton University Press. Chapter 5, 6, 7</p> <p>Lin, Justin Yifu, Fang, Cai &amp; Zhou Li (2003), <i>The China Miracle: Development Strategy and Economic Reform</i>, Hong Kong: The Chinese University Press. Chapter 2&amp;3</p> <p>Chris Bramall(2009), <i>Chinese Economic Development</i>, Routledge. Chapter 2, 3</p>	
<p><b>【Assignments for this session (if any)】</b></p>	
<p>Session 3: The Centrally Planned Economy in China (II)</p>	<p>Date:</p>
<p><b>【Description of the Session】 (purpose, requirements, class and presentations scheduling, etc.)</b></p> <ol style="list-style-type: none"> <li>1. An evaluation to the performance of the Planned Economy in China</li> <li>2. Efforts aimed at improving the planned system before 1978</li> <li>3. Economic reform after 1978 and a procedural comparison between the process of the reform after 1978 and the process of the formation of the centrally planned economy in 1950s.</li> </ol>	
<p><b>【Questions】</b></p>	
<p><b>【Readings, Websites or Video Clips】</b></p> <p>Kornai, Janos (1992), <i>The Socialist System: The Political Economy of Communism</i>, Princeton University Press. Chapter 5, 6, 7</p> <p>Lin, Justin Yifu, Fang, Cai &amp; Zhou Li (2003), <i>The China Miracle: Development Strategy and Economic Reform</i>, Hong Kong: The Chinese University Press. Chapter 2&amp;3</p> <p>Ross Garnaut and Ligang Song(2007),eds. <i>China: Linking Markets for Growth</i>, Asia Pacific Press, The Australian National University, Chapter 20</p> <p>Chris Bramall(2009), <i>Chinese Economic Development</i>, Routledge. Part 2&amp;3</p>	
<p><b>【Assignments for this session (if any)】</b></p>	

Session 4: Rural Policy (I)	Date:
<p><b>【Description of the Session】 (purpose, requirements, class and presentations scheduling, etc.)</b></p> <ol style="list-style-type: none"> <li>1. Rural Policy in the planned economy period</li> <li>2. Evaluation to the rural policy in the planned economy period</li> <li>3. Rural reforms and industrialization after 1978</li> </ol>	
<p><b>【Questions】</b></p>	
<p><b>【Readings, Websites or Video Clips】</b></p> <p>Naughton, Barry (2007), <i>The Chinese Economy: Transitions and Growth</i>, The MIT Press. Chapter 10-12</p> <p>Chris Bramall(2009), <i>Chinese Economic Development</i>, Routledge. Part 4</p> <p>Ligang Song and Wing Thye Woo(2008), eds. <i>China's Dilemma: Economic Growth, the Environment and Climate Change</i>, ANU E Press and Asia Pacific Press, The Australian National University, Chapter 7</p> <p>Yongqiang Li, <i>An Overview of Township and Village Enterprise in China</i>, Proceedings of the 2nd International Conference on Corporate Governance</p>	
<p><b>【Assignments for this session (if any)】</b></p>	
Session 5: Rural Policy (II)	Date:
<p><b>【Description of the Session】 (purpose, requirements, class and presentations scheduling, etc.)</b></p> <ol style="list-style-type: none"> <li>1. Policy issue change and rural policies after the mid-1990s</li> <li>2. Rural policy after the 3-plenum of 18th CCP Congress</li> </ol>	
<p><b>【Questions】</b></p>	
<p><b>【Readings, Websites or Video Clips】</b></p> <p>Naughton, Barry (2007), <i>The Chinese Economy: Transitions and Growth</i>, The MIT Press. Chapter 10-12</p> <p>OECD(2005), <i>OECD Review of Agricultural Policies: China</i></p> <p>OECD(2009), <i>OECD Rural Policy Reviews: China</i></p> <p>Loren Brandt and Thomas G. Rawski(2008), eds. <i>China's Great Economic Transformation</i>, Cambridge University Press</p> <p>Chris Bramall(2009), <i>Chinese Economic Development</i>, Routledge. Part 4</p>	
<p><b>【Assignments for this session (if any)】</b></p>	

Session 6: State-owned Enterprise (SOE) Reform and the Chinese-style Privatization (I)	Date:
<p><b>【Description of the Session】 (purpose, requirements, class and presentations scheduling, etc.)</b></p> <ol style="list-style-type: none"> <li>1. Major policy measures in reforming SOE</li> <li>2. An evaluation to the current status of SOE</li> <li>3. Major social-economic problems (policy issues) closely related to the existence of SOE</li> </ol>	
<p><b>【Questions】</b></p>	
<p><b>【Readings, Websites or Video Clips】</b></p> <p>Lardy, Nicholas R.(1998), China’s Unfinished Economic Revolution, Brookings Institution Press. Chapter 2</p> <p>Naughton, Barry (2007), The Chinese Economy: Transitions and Growth, The MIT Press. Chapter 13</p> <p>Chris Bramall(2009), Chinese Economic Development, Routledge, Part 4&amp;5</p> <p>Stoyan Tenev, Chunlin Zhang, and Loup Brefort (2002), Corporate Governance and Enterprise Reform in China: Building the Institutions of Modern Markets, The World Bank and the International Finance Corporation</p> <p>Loren Brandt and Thomas G. Rawski(2008), eds. China's Great Economic Transformation, Cambridge University Press</p> <p>Ross Garnaut, Ligang Song, Stoyan Tenev, and Yang Yao (2005), China's Ownership Transformation: Process, Outcomes, Prospects, The International Finance Corporation and The World Bank</p> <p>Shahid Yusuf, Kaoru Nabeshima and Dwight H. Perkins(2006), Under New Ownership: Privatizing China's State-Owned Enterprises, The World Bank</p> <p>Shu-Yun Ma(2010), Shareholding System Reform in China: Privatizing by Grouping for Stones, Edward Elgar</p> <p>The World Bank(1996), China: Reform of State-Owned Enterprises</p>	
<p><b>【Assignments for this session (if any)】</b></p>	
Session 7: State-owned Enterprise Reform and the Chinese-style Privatization (II)	Date:
<p><b>【Description of the Session】 (purpose, requirements, class and presentations scheduling, etc.)</b></p> <ol style="list-style-type: none"> <li>1. A brief review of privatization policy and the development of private sector after 1978</li> <li>2. Possible new changes after the 3-plenum of the 18th CCP Congress</li> </ol>	

**【Questions】**

**【Readings, Websites or Video Clips】**

Lardy, Nicholas R.(1998), China's Unfinished Economic Revolution, Brookings Institution Press. Chapter 2

Naughton, Barry (2007), The Chinese Economy: Transitions and Growth, The MIT Press. Chapter 13

International Finance Corporation (2000), China's Emerging Private Enterprises: Prospects for the New Century

Ross Garnaut, Ligang Song, Stoyan Tenev, and Yang Yao (2005), China's Ownership Transformation: Process, Outcomes, Prospects, The International Finance Corporation and The World Bank

Shahid Yusuf, Kaoru Nabeshima and Dwight H. Perkins(2006), Under New Ownership: Privatizing China's State-Owned Enterprises, The World Bank

Shu-Yun Ma(2010), Shareholding System Reform in China: Privatizing by Grouping for Stones, Edward Elgar

**【Assignments for this session (if any)】**

Session 8: Financial Sector in Transition

Date:

**【Description of the Session】 (purpose, requirements, class and presentations scheduling, etc.)**

1. Major problems in the Chinese financial system
2. Explanations to the problems
3. Financial reforms after 1978
4. Possible reforms after the 3-plenum of the 18th CCP Congress

**【Questions】**

**【Readings, Websites or Video Clips】**

Lardy, Nicholas R.(1998), China's Unfinished Economic Revolution, Brookings Institution Press. Chapter 3&4

Naughton, Barry (2007), The Chinese Economy: Transitions and Growth, The MIT Press. Chapter 19

Kumiko Okazaki(2007), Banking System Reform in China: The Challenges of Moving Toward a Market-Oriented Economy, RAND Corporation

Loren Brandt and Thomas G. Rawski(2008), eds. China's Great Economic Transformation, Cambridge University Press

James R. Barth, John A. Tatom and Glenn Yago(2009), eds. China's Emerging Financial Markets: Challenges and Opportunities, Springer

Xiaojun Allan Wu(2002), An Examination of China's Non-performing Loan Issue, **Master**

<b>Degree Thesis, MIT</b> Yasheng Huang, Tony Saich and Edward Steinfeld(2005), eds. Financial Sector Reform in China, Harvard University Asia Center	
<b>【Assignments for this session (if any)】</b>	
Session 9: Market Liberlization	Date:
<b>【Description of the Session】 (purpose, requirements, class and presentations scheduling, etc.)</b> 1. The dual-track systems in the transition process 2. Problems caused by the dual-track system 3. Possible changes after the 3-plenum of the 18th CCP Congress	
<b>【Questions】</b>	
<b>【Readings, Websites or Video Clips】</b> Naughton, Barry (2007), The Chinese Economy: Transitions and Growth, The MIT Press. Chapter 4 OECD (2009), China: Defining the Boundary between the Market and the State, in OECD Reviews of Regulatory Reform series Ross Garnaut and Ligang Song(2007),eds. China: Linking Markets for Growth, Asia Pacific Press, The Australian National University, Chapter 3 Chris Bramall(2009), Chinese Economic Development, Routledge, Part 4&5	
<b>【Assignments for this session (if any)】</b>	
Session 10: Administrative Reform of Central and Local Government	Date:
<b>【Description of the Session】 (purpose, requirements, class and presentations scheduling, etc.)</b> 1. A historical review of the administrative reforms after 1978 2. A general evaluation to the current role of Chinese central and local government and the major problems related 3. The 3 plenum of the 18th CCP Congress and future administrative reforms	
<b>【Questions】</b>	
<b>【Readings, Websites or Video Clips】</b> OECD(2005), Governance in China, China in the Global Economy series Wang Tongxun, China's Administrative Reforms in the Economic Transition, Asian Review of Public Administration	

Manchuan Wang, An Overview of Public Administrative Reform in China: Experiences and Prospects

**【Assignments for this session (if any)】**

### **About the Instructor**

Yongjun Li (Ph.D in Economics, Peking University) is an Associate Professor, and currently also the Chair of Department of Public Policy at School of Government, Peking University. His major research interests include: Public Policy Process in China, Comparative Policy Process in East Asia, Governance and Economic Development, and Elderly Care Policy, etc.

